



Patent  
Attorney's Docket No. 027557-061

#8

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Stefan ANDERSSON

Application No.: 09/928,491

Filed: August 14, 2001

For: NETWORK AUTHENTICATION

Group Art Unit: 2131

Examiner: Unassigned

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INFORMATION DISCLOSURE STATEMENT  
TRANSMITTAL LETTER

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed is an Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

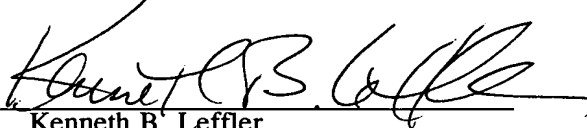
- ☒ [X] No additional fee for submission of an IDS is required.
- ☐ [ ] The fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- ☐ [ ] A certification under 37 C.F.R. § 1.97(e) is also enclosed.
- ☐ [ ] A certification under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.
- ☐ [ ] Charge \$\_\_\_\_\_ to Deposit Account No. 02-4800 for the fee due.
- ☐ [ ] A check in the amount of \$\_\_\_\_\_ is enclosed for the fee due.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

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By:   
Kenneth B. Leffler  
Registration No. 36,075

Date: February 13, 2002



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**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicant hereby submits the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed

**International Search Report issued in connection with International Application No. PCT/EP01/08320, mailed November 27, 2001:**

U.S. Patent No. 6,061,346, issued May 9, 2000, to Nordman.  
U.S. Patent No. 5,778,071, issued July 7, 1998, to Caputo et al.  
PCT Patent Publication No. WO00/56105 A1, September 21, 2000.  
PCT Patent Publication No. WO01/22760 A1, March 29, 2001.  
PCT Patent Publication No. WO01/17310 A1, March 8, 2001.

**United Kingdom Search Report issued in connection with Application No. GB 0020095.6, dated April 5, 2001:**

European Patent Publication No. EP 0898397 A2, February 24, 1999.  
PCT Patent Publication No. WO00/45241 A2, August 3, 2000.  
PCT Patent Publication No. WO00/25546 A1, May 4, 2000.

Also enclosed are the following:

U.S. Patent No. 5,784,463, issued July 21, 1998, to Chen et al.

"Entity Authentication Using Public Key Cryptography", Federal Information Processing Standards Publication FIPS PUB 196 of February 1997, U.S. Department of Commerce/National Institute of Standards and Technology.

U.S. Patent No. 5,943,423, issued August 24, 1999, to Muftic.

U.S. Patent No. 6,041,409, issued March 21, 2000, to Zunquan.

PCT Patent Publication No. WO99/35553, July 15, 1999.

Document on AU-System WAP Browser.

WAP Whitepaper from AU-System, February 1999.

The documents are being submitted within 3 months of the filing or entry of the national stage of this application or before the first Office Action on the merits, whichever is later, therefore no fee or statement is required under 37 C.F.R. § 1.97(b).

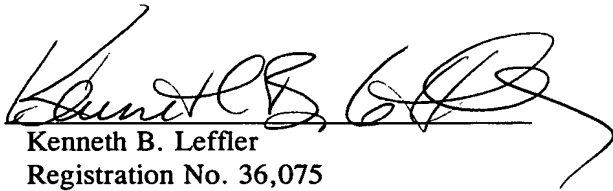
This Information Disclosure Statement contains information which is not in the English language but was cited in a search report or other action by a foreign patent office in a counterpart foreign application. In accordance with M.P.E.P. § 609 A(3) an English language version of the search report or action which indicates the degree of relevance found by the foreign office is being submitted herewith.

To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Respectfully submitted,

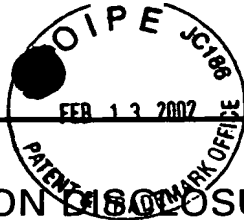
BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By:

  
Kenneth B. Leffler  
Registration No. 36,075

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(703) 836-6620

Date: February 13, 2002



Substitute for form 1449A/PTO

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

ATTORNEY'S DKT NO.

027557-061

APPLICATION NO.

09/928,491

APPLICANT

Stefan ANDERSSON

FILING DATE

August 14, 2001

GROUP

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## U.S. PATENT DOCUMENTS

Examiner Initials	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication (MM-DD-YYYY)
	Number	Kind Code (if known)		
	6,061,346		Nordman	May 9, 2000
	5,778,071		Caputo et al.	July 7, 1998
	5,784,463		Chen et al.	July 21, 1998
	5,943,423		Muftic	August 24, 1999
	6,041,409		Zunquan	March 21, 2000

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Foreign Patent Document		Country	Date of Publication (MM-DD-YYYY)	Translation	
	Number	Kind Code (if known)			Yes	no
	WO00/56105		PCT	September 21, 2000		
	WO01/22760		PCT	March 29, 2001		
	WO01/17310		PCT	March 8, 2001		
	EP0898397A2		Europe	February 24, 1999		
	WO00/45241		PCT	August 3, 2000		
	WO00/25546		PCT	May 4, 2000	Abstract	
	WO99/35553		PCT	July 15, 1999		

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	"Entity Authentication Using Public Key Cryptography", Federal Information Processing Standards Publication FIPS PUB 196 of February 1997, U.S. Department of Commerce/National Institute of Standards and Technology.
	Document on AU-System WAP Browser.
	WAP Whitepaper from AU-System, February 1999.
Examiner Signature	Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.